

CLIENT REGISTRATION KIT

Member : National Stock Exchange of India Ltd. (NSE)
(Capital Market, F&O, Currency Derivative & Commodity Derivative Segment)
Member : Bombay Stock Exchange Ltd. (BSE) (Capital Market Segment)
Depository Participant : CDSL

Astitva

Client Name _____

Client Code _____

Branch Tag

Form No.

Date

INDEX OF DOCUMENTS

DOCUMENTS REQUIRED AS PER SEBI CIRCULAR CIR/MIRSD/16/2011 DATED 22-08-2011
Read with SEBI Circular bearing Reference No. MIRSTD/SE/CIR-19/2009 dated 3-12-2009

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

S.No.	Name of Document	Brief Significance of the Document	Page No.
1.	Account Opening Form	(A) KYC Form - Part-1 Document captures the basic information about the constituent and an instruction/check list (to be sent CKYC)	1-3
		(B) KYC Form - Part-1 Document captures the basic information about the constituent and an instruction/check list (to be sent KRA)	4
		(C) KYC Form - Part-II Document captures the additional information about the constituent relevant to trading account and Demat account.	5-9
2.	Tariff Sheet (For Trading & Demat A/c)	Detailing the rate/amount of brokerage, Demat account transaction & other charges	10
3.	SMS Alert Facility	Terms & Conditions-cum-Registration / Modification Form for Receiving SMS Alerts from CDSL	11-12
4.	TRUST Service Facility	Terms & Conditions for availing Transaction Using Secured Taxing (TRUST) service offered by CDSL	13

VOLUNTARY DOCUMENTS AS PROVIDED BY STOCK BROKER

S.No.	Name of Document	Brief Significance of the Document	Page No.
1.	FATCA & CRS Declaration	FATCA & CRS Declaration for Individual	14
2.	E-mail ID/Mobile Number Declaration	E-mail ID/Mobile Number Declaration	15
3.	Declaration for Clients not having E-mail ID/Mobile No.	Declaration for Clients not having E-mail ID/Mobile No.	15
4.	General Authorisation by the Client	General Authorisation by the Client	16
5.	General Authority	To enable the trading member to act upon the clauses mentioned in the letter of authority	17
6.	Letter of Authority to Trade	Where the client wishes to authorise a family member to operate his account.	18
7.	Power of Attorney	POA for Pay-in of Securities for the purpose of settlement.	19
8.	Fit and Proper Person Declaration	Fit and Proper Person Declaration	20

Astitva Capital Market Private Ltd.**(CIN : U74899DL1991PTC042933)**Member : **National Stock Exchange of India Ltd.**

(Capital Market, F&O, Currency Derivative & Commodity Derivative Segment)

Member : **Bombay Stock Exchange Ltd.** (Capital Market Segment)

SEBI Regn. No.: INZ000160330

Depository Participant : CDSL • SEBI Regn. No.: IN-DP-389-2018 • DP ID : 12087000**Registered Office : 307, New Delhi House, 27, Barakhamba Road, New Delhi-110001**

Phone No. : 011-41510561, 41510564 • Fax : 011-41510091

E-mail : contact@astitvacapital.in, backoffice@astitvacapital.in

Website : www.astitvaonline.com

For any grievance/dispute please contact **Astitva Capital Market Pvt. Ltd.** at the above address or email id- grievance@astitvacapital.in and Phone No. +91-11-41510561. If not satisfied please contact concern exchanges.

Director's Details**YADVENDRA SHARMA**

Phone : 011-41510561

E-mail : ysharma@astitvacapital.in

Compliance Officer's (NSE, BSE)**YADVENDRA SHARMA**

Phone : 011-41510561

E-mail : ysharma@astitvacapital.in

Compliance Officer's (CDSL)**GYAN CHAND AGGARWAL**

Phone : 011-45069770

E-mail : gyanchand@astitvacapital.in

INTRODUCER DETAILS
Status of Introducer Sub-broker/AP Employee Existing Client Others (Specify):
Introducer Name:

SB/AP/Emp/Client Code:

Address of SB/AP:

Mobile No:

Relation CKYC No:

Signature of the Introducer

TO BE FILLED BY INTERMEDIARY / EMPLOYEE

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

For **Astitva Capital Market Private Ltd.**

Authorised Signatory / Director

Date:

EXCHANGE-WISE INVESTOR GRIEVANCE CELL

Exchange	Web Address	Contact No.	Email - Id
NSE	www.nseindia.com	022-26598100	ignse@nse.co.in
BSE	www.bseindia.com	022-22728097	is@bseindia.com
Depository	Web Address	Contact No.	Email - Id
CDSL	www.cdslindia.com	022-22723333	complaints@cdslindia.com

ACKNOWLEDGEMENT TO ASTITVA CAPITAL MARKET PVT. LTD. FROM CLIENT

To,

Astitva Capital Market Pvt. Ltd.

Date : _____

Registered Office : 307, New Delhi House, 27, Barakhamba Road, New Delhi-110001

I/we hereby confirm that I/we have read, understood, agreed and received a duly executed copy of the:-

- Account Opening Form
- Trading Account Related Details & Tariff Sheet (Trading & Demat Account)
- Rights and Obligations of Stock Brokers, Sub-Brokers and Clients
- Rights and Obligations of Beneficiary owner and Depository Participant as prescribe by SEBI and Depository
- Internet and Wireless technology based trading facility provided by Stock Brokers to Client
- Risk and Disclosure document for capital market and derivative segments
- Guidance note-Do's and Don't for trading on the Exchange(s) for Investors
- Policies and procedures
- Terms & Conditions as Mutually agreed by me & FATCA & CRS Terms & Conditions
- Other disclosure/documents as agreed by me specifically in voluntary segment.

I/we am/are abiding by these terms & conditions. I/we reconfirm that I/we and stock broker shall refer any claim and/or disputes with respect to deposits, margin money, etc, to arbitration as per the Rules, Byelaws, and Regulation of the Exchanges where the trade is executed and circulars/notices issued there under as may be in force from time to time.

I/We reconfirm that I/we and Stock Broker shall refer all claims, differences or disputes between us arising out of or in relation to dealings, contracts and transaction made subject to the Bye-Laws, Rules and Regulations of the Exchange or with reference to anything incidental thereto or in pursuance thereof or relating to their validity, construction, interpretation, fulfillment or the rights, obligations and liabilities of the parties thereto and including any question of whether such dealings, transactions and contracts have entered into to arbitration in accordance with the provisions of these Byelaws and Regulations.

Thanks and Best regards,

Client Code : _____

For _____

Client Signature



Authorised Signatory Name

For **Astitva Capital Market Pvt. Ltd.**

Authorised Signatory / Director

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository Participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark Sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/ judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI) :- List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D)
2. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): - List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/ Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account Power of Attorney given by FII/sub account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual

Important Instructions:

- A) Fields marked with "*" are mandatory fields.
- B) Please fill the form in English and in BLOCK letters.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please read section wise detailed guidelines / instructions at the end.
- E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- F) List of two character ISO 3166 country codes is available at the end.
- G) KYC number of applicant is mandatory for update application.
- H) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.



For office use only

(To be filled by financial institution)

Application Type* New Update
 KYC Number (Mandatory for KYC update request)
 Account Type* Normal Simplified (for low risk customers) Small

1. PERSONAL DETAILS (Please refer instruction A at the end)

	Prefix	First Name	Middle Name	Last Name
<input type="checkbox"/> Name* (Same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden Name (If any*)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender	
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others	
Citizenship*	<input type="checkbox"/> IN- Indian	<input type="checkbox"/> Others (ISO 3166 Country Code <input type="text"/>)		
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian		
	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		
Occupation Type*	<input type="checkbox"/> S-Service (<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Sector) <input type="checkbox"/> O-Others (<input type="checkbox"/> Professional <input type="checkbox"/> Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student) <input type="checkbox"/> B-Business <input type="checkbox"/> X- Not Categorised			

PHOTO

Signature / Thumb Impression

2. TICK IF APPLICABLE RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence*

Tax Identification Number or equivalent (If issued by jurisdiction)*

Place / City of Birth* ISO 3166 Country Code of Birth*

3. PROOF OF IDENTITY (PoI)* (Please refer instruction C at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

<input type="checkbox"/> A- Passport Number <input type="text"/>	Passport Expiry Date <input type="text"/>
<input type="checkbox"/> B- Voter ID Card <input type="text"/>	
<input type="checkbox"/> C- PAN Card <input type="text"/>	
<input type="checkbox"/> D- Driving Licence <input type="text"/>	Driving Licence Expiry Date <input type="text"/>
<input type="checkbox"/> E- UID (Aadhaar) <input type="text"/>	
<input type="checkbox"/> F- NREGA Job Card <input type="text"/>	
<input type="checkbox"/> Z- Others (any document notified by the central government) <input type="text"/>	Identification Number <input type="text"/>
<input type="checkbox"/> S- Simplified Measures Account - Document Type code <input type="text"/>	Identification Number <input type="text"/>

4. PROOF OF ADDRESS (PoA)*

4.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS (Please see instruction D at the end)

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type* Residential / Business Residential Business Registered Office Unspecified

Proof of Address* Passport Driving Licence UID (Aadhaar)
 Voter Identity Card NREGA Job Card Others please specify
 Simplified Measures Account - Document Type code

Address

Line 1*

Line 2

Line 3

District* Pin / Post Code* State / U.T Code* City / Town / Village* ISO 3166 Country Code*

4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS * (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1')

Line 1*

Line 2

Line 3 City / Town / Village*

District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES* (Applicable if section 2 is ticked)

Same as Current / Permanent / Overseas Address details Same as Correspondence / Local Address details

Line 1*

Line 2

Line 3 City / Town / Village*

State* ZIP / Post Code* ISO 3166 Country Code*

5. CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction F at the end)

Tel. (Off) - Tel. (Res) - Mobile -

FAX - Email ID

6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill 'Annexure B1') (please refer instruction G at the end)

Addition of Related Person Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type* Guardian of Minor Assignee Authorized Representative

Name* Prefix First Name Middle Name Last Name

(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY [PoI] OF RELATED PERSON* (Please see instruction (H) at the end)

A- Passport Number Passport Expiry Date --

B- Voter ID Card

C- PAN Card

D- Driving Licence Driving Licence Expiry Date --

E- UID (Aadhaar)

F- NREGA Job Card

Z- Others (any document notified by the central government) Identification Number

S- Simplified Measures Account - Document Type code Identification Number

7. REMARKS (If any)

8. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : -- Place :



[Signature / Thumb Impression]

Signature / Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received Certified Copies IPV Done

KYC / IN-PERSON VERIFICATION CARRIED OUT BY

Date --

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

[Employee Signature]

INSTITUTION DETAILS

Name **Astitva Capital Market Pvt. Ltd.**

Code

[Institution Stamp]

KNOW YOUR CLIENT (KYC) APPLICATION FORM

Please fill this form in **ENGLISH** and in **BLOCK LETTERS**

FOR INDIVIDUAL

A. IDENTITY DETAILS

- Name of the Applicant : _____

- Father's/Spouse Name : _____
- a. Gender : Male Female b. Marital Status : Single Married
c. Date of Birth :
- a. Nationality : _____ b. Status : Resident Individual Non Resident Foreign National
- a. PAN : _____ b. Aadhaar Number, if any : _____
- Specify the proof of Identity submitted : _____

PHOTOGRAPH

Please affix
your recent
passport size
photograph and
sign across it

B. ADDRESS DETAILS

- Residence Address : _____

City/Town/Village : _____ Pin Code :
State : _____ Country : _____
- Contact Details : Tel. (Off.) _____ Tel. (Res.) _____ Mob. _____
Fax : _____ E-mail : _____
- Specify the proof of address submitted for residence address : _____
- Permanent Address : _____
(if different from above
or overseas address,
mandatory for Non-
Resident Applicant)
City/Town/Village : _____ Pin Code :
State : _____ Country : _____

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

Signature of the
Applicant



Date :

FOR OFFICE USE ONLY

Originals verified and Self-Attested Document copies received

Name & Signature of the Authorised Signatory






Date :

Seal/Stamp of the Intermediary

G. DEPOSITORY ACCOUNT DETAILS (DP1 DETAILS CONSIDERED FOR PAYOUT OF SECURITIES IF FILLED)

	DP 1 Details	DP 2 Details
Depository	<input checked="" type="checkbox"/> CDSL	
DP Name	Astitva Capital Market Pvt. Ltd.	
Client Name		
DP ID	
BO ID		

H. TRADING PREFERENCES *Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client

Stock Exchange	Market Segment/s			
NSE	<input type="checkbox"/> Cash	4(a) 	<input type="checkbox"/> F&O	4(b) 
	<input type="checkbox"/> Currency Derivative	4(c) 	<input type="checkbox"/> Commodity Derivative	4(d) 
BSE	<input type="checkbox"/> Cash	4(e) 		

I. DEALING THROUGH SUB-BROKERS / AUTHORISED PERSON (AP) / OTHER STOCK BROKERS

If Yes, please specify:

Name of Broker/SB/AP	SEBI Registration No
Name of Exchange	Trading Code

Details of disputes/dues pending from/to such stock broker/sub-broker:

Whether you are a Member / Sub-broker / AP of any Exchange Yes No

J. STANDING INSTRUCTIONS / OTHER DETAILS / EMAIL-SMS ALERT / CONTRACT NOTE PREFERENCE

To send Electronic Transaction, Holding Statement, ECN / Bill & all correspondence at 1st Holder Email ID stated in KYC	<input type="checkbox"/> Yes <input type="checkbox"/> No
To share email id with Registrar & Transfer Agent (RTA)	<input type="checkbox"/> Yes <input type="checkbox"/> No
I/we like to instruct the DP to receive each and every credit in my / our account (if not marked, the default option would be "Yes")	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
I/we would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end. (If not marked, the default option would be "No")	<input type="checkbox"/> Yes <input type="checkbox"/> No
I/we request you to send Electronic Transaction-cum-Holding Statement at the email Id _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
Dividend / Interest to receive in bank account stated in KYC via ECS	<input type="checkbox"/> Yes <input type="checkbox"/> No
To avail facility of Internet Trading & Wireless Technology	<input type="checkbox"/> Yes <input type="checkbox"/> No
To receive Annual Report in Electronic mode	<input type="checkbox"/> Yes <input type="checkbox"/> No
To receive Rights & Obligations, RDD, Guidance Note, Policies & Procedures & all non mandatory documents in electronic and also displayed for information on website www.standardsec.com	<input type="checkbox"/> Yes <input type="checkbox"/> No
To receive Delivery Instruction Slip	<input type="checkbox"/> Yes <input type="checkbox"/> No
DP Account Statement	<input type="checkbox"/> Monthly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Weekly <input type="checkbox"/> As per SEBI Regulation
Declaration for Mobile Number	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Parent

Easi	To register for easi please visit our website www.cdslindia.com . <input type="checkbox"/> Yes	
	Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online. <input type="checkbox"/> No	
Transactions Using Secured Texting Facility (TRUST) Refer to Terms and Conditions Annexure B	I wish to avail the TRUST facility using the Mobile Number registered for SMS Alert Facility, I have read and understood the Terms and Conditions prescribed by CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No	
	I wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST.	
	Stock Exchange Name/ID	Clearing Member Name
		Clearing Member ID (Optional)

K. INFORMATION FOR PREVENTION OF MONEY LAUNDERING ACT, 2002 & OTHER DETAILS

Experience	Number of years of Investment / Trading Experience <input type="checkbox"/> <input type="checkbox"/>		
Gross Annual Income	<input type="checkbox"/> Below 1 lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> >25 Lacs		
	OR Net Worth in ₹ (*Net worth should not be older than 1 year) as on date DD / MM / YYYY		
Occupation (Please tick)	<input type="checkbox"/> Govt Service	<input type="checkbox"/> Professional	<input type="checkbox"/> Private Sector Service
	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Business	<input type="checkbox"/> Retired <input type="checkbox"/> Agriculturist
	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Others <small>(Pls Specify)</small> _____
Nature of Business	<input type="checkbox"/> Manufacturing	<input type="checkbox"/> Services	<input type="checkbox"/> Trading
	<input type="checkbox"/> Consultancy	<input type="checkbox"/> Others _____	
Guardian Details	Name:	PAN	
	CKYC Reference No.:	Relation	
Is the Client Politically Exposed Person (PEP) or Related to a PEP <input type="checkbox"/> Yes <input type="checkbox"/> No			

DECLARATION

I / We declare that the particulars furnished above are true & correct to the best of my / our knowledge & belief. I / We agree & undertake to intimate the DP / Stock broker any change(s) in the details therein immediately. I / We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination & suitable action.

I / We confirmed having received, read/been explained and understood the content of the 'Rights and Obligations Document' for trading and DP Services, 'Risk Disclosure Document', 'Guidance Note' and agree to abide by and be bound by the same and by the Bye-Laws as are in force from time to time. I/We also confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet. I / We have also the informed that the standard set of documents has been displayed for information on stock broker's designated website i.e. www.astitvacapital.in



Signature of 1st Holder



Signature of 2nd Holder



Signature of 3rd Holder

Place:

Date: DD / MM / YYYY

NOMINATION FORM FOR TRADING AND DEMAT ACCOUNT

I/We wish to make a nomination. [As per details given below]
 I/We wish to cancel the nomination made by me/ us earlier and consequently all rights and liabilities in respect of beneficiary ownership in the securities held by me / us in the said account shall vest in me/ us. [Strike off the nomination details below]

NOMINATION DETAILS

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all securities held in the Depository by me / us in the said beneficiary owner account in the event of my / our death.

Nomination can be made upto three nominees in the account.		Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
1	Name of the nominee(s) (Mr./Ms.)			
2	Share of each Nominee Equally <input type="checkbox"/> [If not equally, please specify percentage]	%	%	%
		Any odd lot after division shall be transferred to the first nominee mentioned in the form.		
3	Relationship With the Applicant (If Any)			
4	Address of Nominee(s)			
	PIN Code			
5	Mobile/Telephone No. of Nominee(s)			
6	Email ID of Nominee(s)			
7	Nominee Identification details : [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature	Photograph of 1st nominee Signature of Nominee across photograph	Photograph of 2nd nominee Signature of Nominee across photograph	Photograph of 3rd nominee Signature of Nominee across photograph
		Signatue	Signatue	Signatue
	<input type="checkbox"/> PAN			
	<input type="checkbox"/> Aadhaar			
	<input type="checkbox"/> Saving Bank Account No.			
	<input type="checkbox"/> Proof of Identity			
	<input type="checkbox"/> Demat Account ID			
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:				
8	Date of Birth (in case of minor nominee(s))	D D M M Y Y Y Y Y Y	D D M M Y Y Y Y Y Y	D D M M Y Y Y Y Y Y
9	Name of Guardian (Mr./Mrs.) (in case of minor nominee(s))			
10	Address of Guardian			
	PIN Code			
11	Mobile/Telephone No. of Guardian			
12	Email ID of Guardian			
13	Relationship of Guardian with Nominee			
14	Guardian Identification details : [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature	Photograph of 1st Guardian Signature of Guardian across photograph	Photograph of 2nd Guardian Signature of Guardian across photograph	Photograph of 3rd Guardian Signature of Guardian across photograph
		Signatue	Signatue	Signatue

TARIFF SHEET

BROKERAGE STRUCTURE

Brokerage Slab	% of Turnover	Minimum	Brokerage Slab	% of Turnover	Minimum
Delivery Based		Paisa_____ Per Share	Currency Future		Paisa_____ Per Share
Daily Square up		Paisa_____ Per Share	Currency Option		Rs._____ Per Lot
Equity Future		Paisa_____ Per Share	Commodity Future		Paisa_____ Per Share
Equity Option		Rs._____ Per Lot	Commodity Option		Rs._____ Per Lot

Important Note : In case of Physical Contract notes or other related documents being dispatched to client, a difference of Rs. 25/- in total brokerage booked on a particular contract would be charged toward minimum processing fee. Delay payment charges @2% per month would be charged for debit/shortage in payin/margin default, as per exchange requirements. Depository/Pledge charges for the movement of shares between pool/ beneficiary/margin account for payin / payout or margin purpose will be charged. Transaction charges/Clearing Charges/other statutory charges/ courier/ administration/ processing charges will be charged as applicable. Handling charges for issue of account statement, copy of contract note etc. will be charged as applicable. Handling charges for issue of account statement, copy of contract note etc. will be charged as applicable. One time processing fee Rs. 50/- per segment and POA charges Rs. 100/- shall be charged.

CHARGES FOR DEPOSITORY SERVICES

NATURE OF SERVICES	CHARGES		
	Regular Scheme	Astitva Relax	Life Time
Account Maintenance Cycle	Four Quarter (One Year)	Twenty Quarter (Five Year)	Life Time
Maintenance Charges (Non-Corporate Account)	₹399/- for first Quarter remaining three Quarters Free	₹999/- for first Quarter remaining nineteen Quarters Free	₹1999/-
Maintenance Charges (Corporate Account)	₹1250/- for first Quarter remaining Quarters Free	Not Applicable	Not Applicable

TRANSACTION CHARGES - MONTHLY

Delivery	Market Transaction	₹20/- per instruction or 0.02% of transaction value, whichever is higher.
	Off Market Transaction	₹25/- per instruction or 0.03% of transaction value, whichever is higher.
Pledge - Creation/ Closure/ Invocation		₹50/- per instruction or 0.02% of transaction value, whichever is higher.
Demat Charges		₹50/- for up to first hundred and after first hundred ₹10/- for every hundred securities or part thereof or a flat fee ₹10/- per certificate whichever is higher plus courier charges of ₹40/- for every 25 certificate and part thereof
Remat Charges		₹100/- for up to first hundred and after first hundred ₹30/- for every hundred securities or part thereof or a flat fee ₹30/- per certificate whichever is higher
Mutual funds (Destat / Redemption)		₹50/- per instruction plus courier charges
Instruction Booklet		₹2/- per leaf plus courier charges

Note: • The Depository Participant shall reserve the right to revise the charges by giving not less than 30 days notice in writing to the client. • Value of Transaction will be in accordance with the Depository formulae. • Modification in client detail, ₹40/- per instance. Maintenance charges will be charged in advance at the time of opening the account. • Rejection charges ₹50/- per rejection plus courier charges. • Slip Rejection/Fail charges ₹20/- per rejection. • Off-Market Instruction not received prior to 24 hours of execution will be received at client's risk. • Instruction for Pay-In of securities to transfer from client account to CM Pool account, Inter settlement instruction, CM Pool to CM Pool transfer and delivery instruction will be accepted up till 4:00 PM on working day prior to Pay-in date. • Periodic statement free on Email and ₹40/- towards courier charges if sent physically. • Non-periodic statement shall be charged @ ₹5/- per page and postage/courier charge @ ₹40/- or actual charges whichever is higher. • In case of non-payment of bill/dues within 30 days of due date, interest shall be charged @ 2.0% per month on the outstanding dues. • ₹100/- will be charged to client in case of New Delivery Instruction Booklet is requested without requisition slip. • All charges are exclusive of GST. Taxes and other Government levies will be charges extra as applicable from time to time.

"As per SEBI Circular No. CIR/MRD/DP/22/2012 dated 27th August 2012, all clients who are willing to hold only one demat account as first holder and DP holding valuation will be maintained below Rs. 2 Lac. Is eligible to opt for BSDA (Basic Service Demat Account) subject to term and conditions mentioned by SEBI."

To opt BSDA scheme please sign a separate scheme sheet which can be obtained from our office."

I/We do not wish to avail BSDA facility in my/our Demat Account

I/We wish to avail BSDA facility in my/our Demat Account.



Name: 1st Holder

Name: 2nd Holder

Name: 3rd Holder

**Terms & Conditions-Cum-Registration / Modification Form for receiving SMS Alerts from CDSL****Definitions :**

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise.

Fees, Charges and deposits

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Tower, Dalal Street, Fort, Mumbai-400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. 'Alerts' means a customized SMS sent to the BO over the said mobile phone number.
6. 'Service Provider' means a cellular service provider(s) with whom the depository has entered/will be entering into an arrangement for providing the SMS alerts to the BO.
7. 'Service' means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability :

1. The service will be provided to the BO at his/her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The service may be discontinued for a specific period/indefinite period with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository.

In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts :

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the services depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledge that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/or in accuracy. In case of BO observes any error in the information provided in the alert, the BO shall inform the depository and/or the DP immediately in writing and the depository will make best possible efforts to rectify the errors as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/suffered by the BO an account to avail SMS alerts facility.
5. The BO authorized the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com The BO is advised not to inform the service provider about any such unauthorized debit to/transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.

8

Signature of 1st Holder

Signature of 2nd Holder

Signature of 3rd Holder

7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

Fees :

Depository reserves the right to change such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer :

The depository shall made reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository will not be liable for any unauthorized use or

access to the information and/or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by an third person.

Liability and Indemnity :

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, changes and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out or interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments :

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction :

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purpose of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/we further undertake to pay fee/charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/are aware that more acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of registration/modification (Please cancel out what is not applicable).

DP ID	1	2	0	8	7	0	0	0	BO ID									
-------	---	---	---	---	---	---	---	---	-------	--	--	--	--	--	--	--	--	--

Sole/First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile No. on which messages are to be sent

+	9	1																
---	---	---	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The Mobile Number is registered in the name of : _____

E-mail Id : _____
(Please write only ONE valid email Id on which communication; if any, is to be sent)

 _____
Signature of 1st Holder

 _____
Signature of 2nd Holder

 _____
Signature of 3rd Holder

IN CASE OF JOINT HOLDING, ALL JOINT HOLDERS MUST SIGN.
***Please do not use correction fluid, all cuttings must be attested by all the joint holder(s).**

Place : Date :

1. **Definitions:**
In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:
 - i. "Depository" means Central Depository Services (India) Limited (CDSL)
 - ii. TRUST means "Transactions Using Secured Texting" service offered by the Depository.
 - iii. "Service Provider" means a cellular service provider(s) with whom the Depository has entered / shall enter into an arrangement for providing the TRUST service to the BO.
 - iv. "Service" means the service of providing facility to receive/give instructions through SMS on best effort basis as per the following terms and conditions. The types of transaction that would normally qualify for this type of service would be informed by CDSL from time to time.
 - v. "Third Party" means the operators with whom the Service Provider is having / will have an arrangement for providing SMS to the BO.
2. The service will be provided to the BO at his / her request and at the discretion of the depository provided the BO has registered for this facility with their mobile numbers through their DP or by any other mode as informed by CDSL from time to time. Acceptance of application shall be subject to the verification of the information provided by the BO to the Depository
3. The messages will be sent on best efforts basis by way of an SMS on the mobile no which has been provided by the BOs. However Depository shall not be responsible if messages are not received or sent for any reason whatsoever, including but not limited to the failure of the service provider or network.
4. The BO is responsible for promptly informing its DP in the prescribed manner any change in mobile number, or loss of handset on which the BO wants to send/receive messages generated under TRUST. In case the new number is not registered for TRUST in the depository system, the messages generated under TRUST will continue to be sent to the last registered mobile number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of messages sent on such mobile number.
5. The BO agrees that SMS received by the Depository from the registered mobile number of the BO on the basis of which instructions are executed in the depository system shall be conclusive evidence of such instructions having been issued by the BO. The DP / CDSL will not be held liable for acting on SMS so received.
6. The BO shall be responsible for submitting response to the 'Responsive SMS' within the specified time period. Transactions for which no positive or negative confirmation is received from the BO, will not be executed except for transaction for deregistration. Further, CDSL shall not be responsible for BOs not submitting the response to the said SMS within the time limit prescribed by CDSL.
7. The BO agrees that the signing of the TRUST registration form by all joint holders shall mean that the instructions executed on the basis of SMS received from the registered mobile for TRUST shall be deemed to have been executed by all joint holders.
8. The BO agrees to ensure that the mobile number for TRUST facility and SMS alert (SMART) facility is the same. The BO agrees that if he is not registered for SMART, the DP shall register him for SMART and TRUST. If the mobile number provided for TRUST is different from the mobile number recorded for SMART, the new mobile number would be updated for SMART as well as TRUST.
9. BOs are advised to check the status of their obligation from time to time and also advise the respective CMs to do so. In case of any issues, the BO/CM should approach their DPs to ensure that the obligation is fulfilled through any other mode of delivery of transactions as may be informed / made available by CDSL from time to time including submission of Delivery Instruction Slips to the DP.
10. The BO acknowledges that CDSL will send the message for confirmation of a transaction to the BO only if the Clearing Member (registered by the BO for TRUST) enters the said transaction in CDSL system for execution through TRUST within prescribed time limit.
11. The BO further acknowledges that the BO/CM shall not have any right to any claim against either the DP or Depository for losses, if any, incurred due to non receipt of response on the responsive SMS or receipt of such response after the prescribed time period. In the event of any dispute relating to the date and time of receipt of such response, CDSL's records shall be conclusive evidence and the Parties agree that CDSL's decision on the same shall be final and binding on both Parties.
12. The BO may request for deregistration from TRUST at any time by giving a notice in writing to its DP or by any other mode as specified by Depository in its operating instructions. The same shall be effected after entry of such request by the DP in CDSL system if the request is received through the DP.
13. Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.
14. The BO expressly authorises Depository to disclose to the Service Provider or any other third party, such BO information as may be required by them to provide the services to the BO. Depository however, shall not be responsible and be held liable for any divulgence or leakage of confidential BO information by such Service Providers or any other third party.
15. The BO takes the responsibility for the correctness of the information supplied by him to Depository through the use of the said Facility or through any other means such as electronic mail or written communication.
16. The BO is solely responsible for ensuring that the mobile number is not misused and is kept safely and securely. The Depository will process requests originated from the registered Mobile as if submitted by the BO and Depository is not responsible for any claim made by the BO informing that the same was not originated by him.
17. **Indemnity:**
In consideration of providing the service, the BO agrees that the depository shall not be liable to indemnify the BO towards any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.
18. **Disclaimer:**
Depository shall be absolved of any liability in case :-
 - a. There is loss of any information during processing or transmission or any unauthorized access by any other person or breach of confidentiality.
 - b. There is any lapse or failure on the part of the service providers or any third party affecting the said Facility and that Depository makes no warranty as to the quality of the service provided by any such service provider.
 - c. There is breach of confidentiality or security of the messages whether personal or otherwise transmitted through the Facility.

To register for **easi**, please visit our website www.cdslindia.com. **Easi** allows a BO to view his ISIN balances, transactions and value of the portfolio online.

Dear Sir/Madam,

I/We wish to avail the following facility/ies provided by the depository on my/our mobile number as provided below subject to the terms and conditions as specified by CDSL.

- a. SMART - SMS alert facility
- b. TRUST - Transaction using Secured Texting facility.

(Please note that SMS alert facility is mandatory if TRUST facility is opted for)

BOID

1	2	0	8	7	0	0	0								
----------	----------	----------	----------	----------	----------	----------	----------	--	--	--	--	--	--	--	--

(Please write your 8 digit DPID)

(Please write your 8 digit Client ID)

Sole / First Holder's Name : _____ Second Holder's Name _____ Third Holder's Name _____

Mobile Number on which message are to be sent **+91** _____ (Please write only the mobile number without prefixing country code or zero)

(Existing users registered for SMS alerts : Please note that if the mobile number for TRUST is different than the registered mobile number for SMS alert, the new mobile number will be updated for SMS alert also.)

The mobile number is registered in the name of : _____

Email ID : _____

(Please write only ONE valid email ID on which communications; if any, is to be sent)

I/We consent to CDSL providing to the service provider such information pertaining to account / transactions in my/our account as is necessary for the purpose of availing the said facility.

I/We have read and understood the terms and conditions prescribed by CDSL for the said facility/ies and agree to abide by them and any amendments thereto made by the depository from time to time. I/We further undertake to pay fee / charges as may be levied by the depository from time to time.

 _____
Signature of 1st Holder

 _____
Signature of 2nd Holder

 _____
Signature of 3rd Holder

Place :

IN CASE OF JOINT HOLDING, ALL JOINT HOLDERS MUST SIGN.
***Please do not use correction fluid, all cuttings must be attested by all the joint holder(s).**

Date :

FATCA & CRS DECLARATION (FOR INDIVIDUAL)

Do you have any non-indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency? Yes No

Sole/First Holder/Guardian <input type="checkbox"/> Yes <input type="checkbox"/> No		Second Holder <input type="checkbox"/> Yes <input type="checkbox"/> No		Third Holder <input type="checkbox"/> Yes <input type="checkbox"/> No	
Country of Birth		Country of Birth		Country of Birth	
Country of Citizenship/ Nationality		Country of Citizenship/ Nationality		Country of Citizenship/ Nationality	
Are you a US Specified Person?	<input type="checkbox"/> Yes <input type="checkbox"/> No Please provide Tax Payer Id	Are you a US Specified Person?	<input type="checkbox"/> Yes <input type="checkbox"/> No Please provide Tax Payer Id	Are you a US Specified Person?	<input type="checkbox"/> Yes <input type="checkbox"/> No Please provide Tax Payer Id
Country of Tax Residency* (Other then India)	Taxpayer Identification No.	Country of Tax Residency* (Other then India)	Taxpayer Identification No.	Country of Tax Residency* (Other then India)	Taxpayer Identification No.
1.		1.		1.	
2.		2.		2.	
Address		Address		Address	

*Please indicate all countries in which you are a resident for tax purpose and associated Taxpayer identification number.

FATCA - CRS TERMS AND CONDITIONS

I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Astitva Capital Market Pvt. Ltd. for any modification to this information promptly.

I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).

11  _____
Signature of 1st Holder

 _____
Signature of 2nd Holder

 _____
Signature of 3rd Holder

Name of Client : _____

E-MAIL ID / MOBILE NUMBER DECLARATION

Astitva Capital Market Pvt. Ltd.

Date : _____

Registered Office : 307, New Delhi House, 27, Barakhamba Road, New Delhi-110001

Dear Sir,

I/We hereby request you to send all your communications pertaining to my/our trade like Trade Confirmations, Contract Notes, MTM Obligation, Margin Calls or any other communication (which is necessary from Compliance point of view) including the verification call from ACMPL etc. on the below mentioned Mobile number/Email id.

Contact Mode	Mention E-mail / Mobile	Mobile / E-mail is registered in the Name (Please tick the correct box)			
		Self	Spouse	Dependent Child	Dependent Parent
	(Please mention Email id in CAPITAL LETTER ONLY)				
E-mail	<input type="checkbox"/> 1st Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/> 2nd Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/> 3rd Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Mobile No.	<input type="checkbox"/> 1st Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/> 2nd Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/> 3rd Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I/We am/are also aware that the Exchanges have been pursuing a process of confirming the trade details directly to the Clients via SMS and Email alerts which they have carried out through their respective Trading Member. Accordingly, I/We accord my/our consent to receive those SMS as well as Emails alerts directly from the Exchanges on the above stated contact details for the purpose. I/We hereby authorize you for sharing the said contact details with Exchanges.

Yours faithfully,

1st Holder Name: _____

2nd Holder Name: _____

3rd Holder Name: _____

Trading Code _____
BO/Client ID _____
DP ID _____

12  _____
Signature of 1st Holder

 _____
Signature of 2nd Holder

 _____
Signature of 3rd Holder

DECLARATION FOR CLIENTS NOT HAVING EMAIL ID / MOBILE NUMBER

Astitva Capital Market Pvt. Ltd.

Date : _____

Registered Office : 307, New Delhi House, 27, Barakhamba Road, New Delhi-110001

I/We understand, Exchange, with the objective of reducing the number of investor complaints relating to unauthorized trading and to safeguard Members' own interest, provides details of the transactions to all investors through mails and SMSs whose email address and mobile numbers are updated by the trading members to the Exchanges. I/We also understand that as provisions of SEBI/Exchanges, the trading member requires to update the mobile and e-mail IDs of their clients to the Exchanges.

However, pursuant to above mentioned compliance, I/we hereby confirm & declare that I/We do not have the mobile number/email id to be updated in your records for the purpose of receiving transactional alerts from trading member/ SEBI/Exchanges. I/We further authorize you to inform /update the same to the SEBI/Exchanges.

Name of Client : _____

13  _____
Signature of 1st Holder

 _____
Signature of 2nd Holder

 _____
Signature of 3rd Holder

GENERAL AUTHORISATION BY THE CLIENT

Astitva Capital Market Pvt. Ltd.

Date : _____

Registered Office : 307, New Delhi House, 27, Barakhamba Road, New Delhi-110001

RUNNING ACCOUNT AUTHORISATION

I/We are dealing through you as a client in Capital Market and/or Future & Option segment and/or Currency segment & in order to facilitate ease of operations and upfront requirement of margin for trade. I/We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/we instruct you otherwise.
2. I/We request you to retain securities with you for my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing Corporation unless I/We instruct you to transfer the same to my/our account.
3. I/We request you to settle my fund and securities account once in every calendar Quarter or once in a calendar Month or such other higher period as allowed by SEBI/Stock Exchange time to time except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt.
4. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds Units towards such obligations and may also retain the funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges.
5. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.
6. I/We further authorize you to retain an amount of upto Rs. 10,000/- (net amount across segment and across stock exchanges) in order to avoid administrative/operational difficulties in settling my/our account. The same may be released on my/our specific request.

The running account authorization provided by me shall continue and remain valid until it is revoked by me anytime in writing.

14 

Signature of 1st Holder



Signature of 2nd Holder



Signature of 3rd Holder

Name of Client : _____

VERBAL ORDER ACCEPTANCE AUTHORISATION

I/we am dealing with you as client at NSE/BSE in Capital, Derivative & Currency Derivative Segment. As my/our broker i.e. agent I/We direct and authorize you to carry out trading/ dealing on my/our behalf as per instruction given below.

I/We agree and acknowledge that it is advised by you that I/We should give instruction for order placement/ modification and cancellation in writing and to avoid disputes, I/We must give instruction in exactly the format given below in duplicate (carbon copy/ photocopy only) and take signatures of at least two authorized officers at the branch along with company stamp on the carbon copy/ photocopy of the instructions in acknowledgment of receipt of my our instructions.

However as I/We shall be dealing by ordering over phone and even if we visit the branch, the fluctuations in market are so rapid the it is not practical to give written instructions for order placement/modification and cancellation, I/We hereby authorize you to accept my/our authorized representative's verbal instructions for order placement/modification and cancellation in person or over phone (fixed line/mobile phone) and execute the same. I/ We understand the risk associated with verbal orders and accept the same, and agree that I/We shall not be entitled to disown orders and consequent trades (if any) by shifting the burden of proof by asking you to prove the placement/modification and cancellation of orders through telephone recording or otherwise.

I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my/our directions given above.

15 

Signature of 1st Holder



Signature of 2nd Holder



Signature of 3rd Holder

Name of Client : _____

GENERAL AUTHORITY

Astitva Capital Market Pvt. Ltd.

Registered Office : 307, New Delhi House, 27, Barakhamba Road, New Delhi-110001

Date : _____

Dear Sir,

Sub: Letter of Authority

I/we dealing with you as client at NSE/BSE in Capital, Derivative & Currency Derivative Segment and in order to facilitate ease of operations, I/We authorise you as under :

1. I/We authorise you to set off outstanding in any of my/our accounts against credits available or arising in any other accounts maintained with you irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of the Exchange or in any other exchange and/or against the value of cash margin or collateral shares provided to you by me/us.
2. I/We hereby authorise you not to provide me/us Order Confirmation/ Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We shall get the required details from contract notes issued by you.
3. I/We hereby authorise you to keep all securities which I/We have given you in margin including the payout securities received by us for meeting margin/order obligation in any of the stock exchanges/clearing house/clearing corporation in whatever manner which may include pledging of shares in favor of bank and/or taking loan against the same or meeting margin/pay-in obligation on my/our behalf or for giving the same as margin to any of the stock exchanges/ clearing house/clearing corporation or otherwise. Further, I/We shall when called upon to do so forthwith from time to time provide a Margin Deposit and/or furnish additional Margin as required under the Rules and Regulations in respect of the business done by me and/or as agreed upon by me with the Trading Member.
4. I/We request you to retain credit balance in any of my/our account and to use the unused funds towards my/our margin/future obligation at any or both the Exchanges unless I/We instruct you otherwise. I/We also authorize you to debit the necessary demat charges from time to time, for keeping the shares in your client demat beneficiary account on my behalf. I/We also authorise you to debit the financial charges @2% p.m., for the debit balances or delay payment charges at the rate prescribed by exchange for shortage in margin/debit balances, if any, in my account and not settled as per the exchange requirements.
5. I/We request you to retain Securities in your demat account for my/our margin/future obligations at all Exchanges, unless I/We instruct you to transfer the same to my/our account.
6. I/We request you to consider my/our telephonic instructions for order placing/order modification/order cancellation as a written instruction and give me/us all the confirmation on telephonic unless instructed otherwise in writing. I/We am/are getting required details from contracts issued by you.
7. We request that you/exchange/other regulatory authority may send/dispatch us contract notes/e-mail alert/other documents through e-mail on my/our designated e-mail address mentioned by me/us in KYC. I/We will completely rely on the log reports of you dispatching software as a conclusive proof of dispatch of e-mail to me/us and will not dispute on the same. I/We note that non-receipt of bounced mail notification by the stock broker shall amount to delivery of the contract note at my/our e-mail ID.
8. I/We will inform you the change of my/our email: ID.
9. I/We are aware and acknowledge that trading of all exchanges is in Electronic mode, based on Vsat, lease line, ISDN, Modem, VPN, Internet and/or combination of technologies and computer system to place and route order and also involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, pay in payout of funds & securities, online & offline banking etc. these are susceptible to interruptions, delay, mistake and dislocations; and your services may at any time be unavailable without further notice and I/we understand that there exists a possibility of communication failure or system problems or slow or delay response from system or trading half, or any such other problem/g glitch whereby not been able to establish access to the trading system/network or delay in execution of trades, which may be beyond your control any may result in delay in processing or not processing of any orders either in part or in full. I understand that you are not making any representation or warranty that your service will be available to the Client at all times without any interruption. I/We agree that I/We shall not have any claim for any loss incurred by me/us against you on account of any suspension, delay, interruption, nonavailability or malfunctioning of your System or Service for any reason whatsoever.
10. I/We confirm that I/We never sublet the trading terminal on any term of connectivity from my place to any other place without your prior approval.
11. I/We am/are agreeable for inter-settlement transfer of securities towards settlement.
12. I/we am/are agreeable for & authorise you to with hold funds pay-out towards all the applicable margins and debits.
13. All fines/penalties and charges levied upon you due to my acts / deeds or transaction may be recovered by you from my account.
14. I have a Trading As well as depository relationship with Standard Securities & Investment Intermediates Ltd. Please debit the charges relevant with depository services from my trading account on monthly basis. I also agree to maintain the adequate balance in my trading account/ pay adequate advance fee for the said reason.
15. I agree that if my contract note bounce for more than 5 times you may dis-continue sending contract note/other documents/details/information on my email and will start sending physical documents and same my attract administration/other charges.

Yours faithfully,

16  _____
Signature of 1st Holder

 _____
Signature of 2nd Holder

 _____
Signature of 3rd Holder

Name of Client : _____

LETTER OF AUTHORITY TO TRADE

Astitva Capital Market Pvt. Ltd.

Registered Office : 307, New Delhi House, 27, Barakhamba Road, New Delhi-110001

Date : _____

Dear Sir,

Sub : Authority to trade on my / our behalf.

I/we am/are the holder of the a trading account bearing number (hereinafter referred to as the "Account") opened and maintained with you and wish to appoint/ nominate (hereinafter referred to as the "Representative"), s/d of....., aged about.....years, presently residing at..... to perform all or any of the following acts, deeds and things, for and on my/our behalf and in my/our interest.

1. To operate the Account on my/our behalf.
 2. To issue necessary instructions to you, for purchase, sale or transfer of shares and Securities from or to the Account as per Representative own judgment, and to sign necessary documents, wherever required effectuating such instructions of purchase, sale or transfer of securities from or to the Account.
 3. To make or cause to make payments to Astitva Capital Market Pvt. Ltd. (ACMPL). whenever necessary, and in accordance with my/our obligations in accordance with the terms and conditions of various agreements executed between me/us and ACMPL.
 4. To duly honour all my/our contractual obligations under various agreements executed between me/us and ACMPL including but not limited to the "Member Constituent Agreement" and/or any other undertaking, commitment issued by my/us in favour of ACMPL.
 5. To accept and give valid discharges for acceptance and submission of contract notes, bills, ledger statements, transaction statements and all correspondence on my behalf and report any discrepancy therein to ACMPL.
 6. To receive & accept necessary telephonic calls pertaining to margin &/or trade confirmation or any other call including verification call from ACMPL at mobile no. & undertake to update this contact detail in writing with ACMPL in the event of any such change, taking place at any time in future.
 7. To sincerely abide by the Statutes, Rules, Regulations and Guidelines prescribed for the purpose and in relation to the operation of the Account.
- A. I/we hereby agree and undertake that all such acts, deeds and things done by the Representative shall be deemed to be binding upon me/us as the same has been done by me/us only and I/we shall hereby ratify all and such acts, deeds, or things done by Representative in any manner whatsoever in discharge of the duties conferred upon him/her under the present instrument.
- B. I/we hereby agree and undertake to indemnify and keep ACMPL including its directors, officers and employees indemnified against any loss, claims, liabilities, obligations, damages, deficiencies, actions, suits, or proceedings aroused / accrued or caused to the ACMPL for any wrong act, deed or thing done by the Representative in any manner whatsoever in exercise of the powers conferred upon him.
- C. I/we further undertake and agree not to challenge any act, deed or things done by said Representative in any manner.
- D. I/we hereby agree and acknowledge that this letter of Authority shall be effective and operational until ACMPL received and acknowledged revocation letter at its registered office.

17  _____
Signature of 1st Holder

 _____
Signature of 2nd Holder

 _____
Signature of 3rd Holder

Name of Client : _____

POWER OF ATTORNEY - POA

BY THIS POWER OF ATTORNEY I/We the undersigned 1) _____, I hereinafter referred to as "Clients" residing at _____ wish to avail various services offered by **Astiva Capital Market Pvt. Ltd.** (hereinafter referred as AC MPL) a company duly incorporated under Companies Act, 1956 and having its registered Office at 307, New Delhi House, Barakhamba Road, Connaught Place, New Delhi -110001.

Whereas Astiva Capital Market Pvt. Ltd are providing various securities related services which inter alia include but not limited to transaction in connection with purchase or sale of securities, scrips, stocks, bonds, debentures, mutual fund units or any other financial instruments or subscription to any offer or public issue of shares, mutual funds, including initial public offering (IPO) thereof, buy back of shares, open offer or any other such transactions relating to securities and financial instruments which has been mentioned in detail in the website www.astivaonline.com.

WHEREAS I have a Beneficiary Account No. _____ with Astiva Capital Market Pvt. Ltd. DP ID- **CDSL 12087000** thereinafter referred to as AC MPL-DP in order to avail the various services offered by AC MPL-DP.

Whereas in order to facilitate smooth operations of the various services availing by me/us offered by AC MPL, I am /we are appointing AC MPL as my/our lawful attorney for all acts, deeds and things in connection with my our transactions with AC MPL.

NOW THESE PRSENT WITNESSES THAT I/We do hereby jointly and severally nominate, constitute and appoint AC MPL, acting through their respective Director(s), employees or such other persons as may be authorized by them for the aforesaid and various other purposes as my/our true and lawful attorney to do, execute and perform severally the following acts, deeds, matters and tings:

1. To operates the specified aforementioned Beneficiary Account and issue instructions relating to Demat of securities and to sign and execute delivery instruction slips to effect transfer of shares /Securities from my/our beneficiary Account, to execute receipt transactions that may be required to facilitate the transactions undertaken by me /us pursuant to my/our dealings with AC MPL in securities market which includes but not limited to capital /derivative /currency derivative / commodity, mutual fund, IPO, Buy Back, open offer as per bye laws, rules, regulations, circulars of stock exchange and regulatory authority.
2. To instruct the AC MPL to debit securities and /or to transfer securities from the aforementioned Beneficiary Account to the pool account of AC MPL as mentioned below or such other Demat account as intimated to me by time to time by AC MPL for the purpose of delivering the same to the Clearing Corporation of the aforesaid Stock Exchange toward any segment in respect of the securities sold by me /us through them (Including pay in/pay out due to exercise of the rights by AC MPL over securities considered as margin/collateral / security)

WHEREAS, AC MPL have the following Demat Accounts / CMBP Ids to receive securities from the clients in their Pool Account :

Sr.No	Demat A/c / CMBP ID		
A	NSE Payin :	CMBP Id	IN563948 and client id 10362179
B	BSE Payin :	CMBP Id	IN665800 and client id 10713482
C	CDSL NSE Pool A/c	Client Id	1202060000069426
D	CDSL Bse Poll A/c	Client Id	1202060000895561

3. To sign the necessary forms and other documents if any and to file the same with the company / ies and/or mutual fund concerned for purchase, sell, redemption or otherwise transfer such securities/units of mutual funds and transferring it in my /our name.
4. To forward all such applications placed through the website to the online IPO module of the concerned exchange or owner /issuer of Investment products.
5. To do all such acts, deeds, things as may be required to ensure that all deliveries of securities arising out of transactions undertaken by me /us under the Agreement are duly and properly completed.
6. To do all such other act or deeds which AC MPL in its sole discretion considers necessary in this connection, in order to complete the transactions.
7. To charge /debit my our account(s) towards charges /fees /amounts etc, payable to AC MPL Depository Participants by virtue of my/our transactions using any of the facilities/services provided by AC MPL.
8. To authorize the Stock Broker/Depository Participant to send consolidated summary of my /our scrip wise buy and sell position taken with average rates to me /us by way of SMS /EMAIL on daily basis, notwithstanding any other document to be disseminated as specified by SEBI from time to time.
9. Whereby AC MPL undertakes to return the securities that may have been received by it erroneously or those securities or fund that was not entitled to receive from me/us
10. Whereby this power of attorney (POA) is revocable at any time, without notice to either party.

I DO HEREBY myself, my heirs, executors and administrators do hereby agree to ratify, confirm and validate all and whatsoever my said Attorney shall do or purport to do or cause to be done by virtue of these presents.

This Power of Attorney shall be subject to the jurisdiction of the **Courts in Delhi.**

18  _____
Signature of 1st Holder

 _____
Signature of 2nd Holder

 _____
Signature of 3rd Holder

Signature of Witness:  _____
Signature of 1st Witness

Signature of Witness:  _____
Signature of 1st Witness

Name: _____

Name: _____

Address: _____

Address: _____

**Declaration of HUF Co-Parceners
Sign. of Co-Parceners**

For Astiva Capital Market Pvt. Ltd.

1. 2. 3.

Director/Authorised Signatory

FIT AND PROPER PERSON DECLARATION

As per Regulation 19(1) of Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2012 (SECC Regulations) which states that no person shall, directly or indirectly, acquire or hold equity shares of a recognised Stock Exchange or recognised Clearing Corporation unless he is a fit and proper person in terms of Regulation 19 and 20 of the said SECC Regulations.

Regulations 19 and Regulations 20 as prescribed by SEBI (herein referred as "Board") are reproduced below:

Eligibility for acquiring or holding shares.

- (1) No person shall, directly or indirectly, acquire or hold equity shares of a recognised stock exchange or recognised clearing corporation unless he is a fit and proper person.
- (2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquire equity shares such that his shareholding exceeds two per cent of the paid up equity share capital of a recognised stock exchange or recognised clearing corporation shall seek approval of the Board within fifteen days of the acquisition.
- (3) A person eligible to acquire or hold more than five per cent of the paid up equity share capital under sub-regulation (2) of regulation 17 and sub-regulation (2) of regulation 18 may acquire or hold more than five per cent of the paid up equity share capital of a recognised stock exchange or a recognised clearing corporation only if he has obtained prior approval of the Board.
- (4) Any person holding more than two per cent. of the paid up equity share capital of the recognised stock exchange or the clearing corporation on the date of commencement of these regulations, shall ensure compliance with this regulation within a period of ninety days from the date of such commencement.
- (5) If approval under sub-regulation (2) or (3) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.
- (6) Any person holding more than two per cent. of the paid up equity share capital in a recognised stock exchange or a recognised clearing corporation, as the case may be, shall file a declaration within fifteen days from the end of every financial year to the recognised stock exchange or recognised clearing corporation, as the case may be, that he complies with the fit and proper criteria provided in these regulations.

Fit and proper criteria.

- (1) For the purposes of these regulations, a person shall be deemed to be a fit and proper person if—
 - (a) such person has a general reputation and record of fairness and integrity, including but not limited to—
 - (i) financial integrity;
 - (ii) good reputation and character; and
 - (iii) honesty;
 - (b) such person has not incurred any of the following disqualifications—
 - (i) the person, or any of its whole time directors or managing partners, has been convicted by a court for any offence involving moral turpitude or any economic offence or any offence against the securities laws;
 - (ii) an order for winding up has been passed against the person;
 - (iii) the person, or any of its whole time directors or managing partners, has been declared insolvent and has not been discharged;
 - (iv) an order, restraining, prohibiting or debarring the person, or any of its whole time directors or managing partners, from dealing in securities or from accessing the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed;
 - (v) any other order against the person, or any of its whole time directors or managing partners, which has a bearing on the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the order has not elapsed;
 - (vi) the person has been found to be of unsound mind by a court of competent jurisdiction and the finding is in force; and
 - (vii) the person is financially not sound.
- (2) If any question arises as to whether a person is a fit and proper person, the Board's decision on such question shall be final. I/we hereby declare that. I/we am/are fit & Proper person as per the above rules & regulations of the SEBI.

19 

Signature of 1st Holder



Signature of 2nd Holder



Signature of 3rd Holder



Astitva

Astitva Capital Market Private Ltd.

(CIN : U74899DL1991PTC042933)

Member : National Stock Exchange of India Ltd. (NSE)
(Capital Market, F&O, Currency Derivative & Commodity Derivative Segment)

Member : Bombay Stock Exchange Ltd. (BSE) (Capital Market Segment)
(SEBI Regn. No. : INZ000160330)

DP : CDSL • DP ID : 12087000 • SEBI Regn. No.: IN-DP-389-2018

Registered Office : 307, New Delhi House, 27, Barakhamba Road,
New Delhi-110001

Phone No. : 011-41510561, 41510564 • Fax : 011-41510091

E-mail : contact@astitvacapital.in, backoffice@astitvacapital.in

Website : www.astitvaonline.com

